1 Tutorial of use of the blupf90 suite for (SSG)BLUP of line crosses (with metafounders)

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- version 0.2 Jul 27th 2020, including some suggestions by Daniela Lourenço

1.1 Purpose

This is a tutorial on the use of the blupf90 family of software to analyze crossbred data in SSGBLUP using the metafounders theory. First, we fit two models without markers (BLUP and BLUP with UPGs). Then we proceed to include markers and metafounders in a SSGBLUP. We use two specific binaries that are available on the blupf90 page: renumf90 and the new blupf90test which also supports REML. We also use the (not yet publicly released) gammaf90 software to compute the gamma matrix of relationships across metafounders; however, this is not needed because gamma can be computed using own software. Other binaries that are mainly used to estimate variance components like gibbs*f90 or *remlf90 do not consider metafounders, but in most cases blupf90test can be used instead.

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The files to follow this tutorial are in http://genoweb.toulouse.inra.fr/~alegarra/ThreeWayDist

1.2 Simulation

I simulated a 3-way cross using QMsim (Sargolzaei and Schenkel 2009):

The trait has $h^2 = 0.5$. Lines (breeds) A and C are selected for high values, line B for low values. All lines are related because they come from the same ancestral population 15 generations ago however, we only have the last 5 generations of pedigree and data. Lines A, B and C are in one farm (with simulated farm effect +20). F1 and 3-way are in another two farms (with simulated farm effects +30 and +40 respectively). Genetic correlation across farms and populations is 1 but variances are different. Crude averages of genetic value (gv) and phenotypic value (y) of the lines at the 1st 1000 records are:

Table 1: means.

line	\bar{y}	\overline{gv}
line A	27.54	7.54
line B	13.54	-6.46
line C	28.55	8.55
F1	30.34	0.34
3-way	46.37	6.37

The genetic scenario is probably not much realistic (and this simulated data should not be used to prove or disprove any model) but it allows to show the details and possibilities in a 2- and

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3- way genetic evaluation where lines are more (A and C) or less (B) similar and individuals of different breeds have different environmental effects. We simulated a single, additive trait with no heterosis. However, GxG and GxE can be accommodated in this case fitting one trait per population (i.e. 5-trait analysis). Heterosis can be modelled fitting homozygosity (inbreeding) as a covariate.

1.2.1 Pedigree

This is how the pedigree file ped looks like:

```
A40225 0 0 A
A40922 0 0 A
A37199 0 0 A
A61165 A55264 A57020 A
A61166 A55264 A57020 A
B101016 0 0 B
B97504 0 0 B
B121166 B115792 B114702 B
B121167 B115792 B114702 B
C160714 0 0 C
C157689 0 0 C
C181173 C176101 C174591 C
C181174 C176101 C174591 C
AxB181205 A55397 B117086 AxB
AxB181206 A55397 B117086 AxB
CxF1189225 C176649 AxB182251 CxF1
CxF1189226 C176649 AxB182251 CxF1
```

The pedigree has 89260 animals and it is complete in the sense that all sires and dams figure in the 1st column, with unknown (0) parents if necessary. We have a single file for pedigree including pure lines A, B and C and F1 (F1 is the cross of A x B) and 3-way (male C and female F1) crosses, which have ancestors of the different lines. The 4th column indicates the breed of the animal. The pedigree (all animals confounded) has \sim 5 generations and maximum inbreeding in the pure lines of 7%.

1.2.2 Data

This is how the data file data (29333 records) looks like:

```
A41175 M 27.5509 A PurebredFarm
A41178 F 28.4484 A PurebredFarm
...
B101241 F 14.348 B PurebredFarm
B101244 M 13.8164 B PurebredFarm
...
C161268 M 28.845 C PurebredFarm
C161271 M 30.8888 C PurebredFarm
...
AxB181308 F 30.86 AxB F1Farm
AxB181311 M 30.7664 AxB F1Farm
```

CxF1189305 M 35.366 CxF1 3wayFarm CxF1189308 F 35.0546 CxF1 3wayFarm

It has columns individual, sex (which actually has no simulated effect), phenotype, population and farm. Again, it is a single file but it could be split in several files. The number of records per breed is:

Table 2: number of records

line	records
line A	6667
line B	6667
line C	6667
F1	2666
3-way	6667

1.2.3 Markers

We simulated 20 chromosomes of 1M and 2000 markers each. Only 13000 animals are genotyped (2000 for each A, B, C and F1; 5000 for the 3-way cross) - of which 4333 (at random) in data. File markers is formatted in the blupf90 format:

```
C181153 02202000002020002...
C181163 12102001001121111...
C181173 022011001010111112...
AxB181177 12112201211102122...
AxB181181 12112201211102122...
```

of course there are no mistakes in genotyping as this is simulated data. However, probably many markers are monomorphic.

1.3 Models and theory

Now we analyse this with blupf90test program (not with blupf90). The model will include the effects of sex (that it should have no effect, but we pretend that we don't know it yet), animal and farm. We have several options:

Considering a single population. This model assumes that the effect of a gene is the same across different populations ("common genetic" approach (Christensen et al. 2015) or "uniquely defined" effects (Stuber and Cockerham 1966)):

- 1. BLUP with different means per population, fit as an effect with 5 levels (BLUP).
- 2. BLUP with unknown parent groups (UPG) for lines A, B and C (BLUP_UPG). This model assumes that the effect of AxB is strictly 1/2 the effect of A and 1/2 the effect of B, and the effect of CxF1 is half the effect of C and 1/4 A and 1/4 B.

These two models are very classic and do not need extra description. The following two including metafounders are more challenging and will be described in the next section:

- 3. BLUP with metafounders (MF) for lines A, B and C (BLUP_MF). (This model will not be run.)
- 4. SSGBLUP (including genotypes) with metafounders (MF) for lines A, B and C (SSG-BLUP_MF) (Christensen et al. 2015)

We could have considered BLUP (or SSGBLUP) with one random effect per population of origin, i.e. genes have different effects depending whether they come from lines A, B or C. Christensen et al. (Christensen et al. 2015) call this "the partial genetic" approach whereas other people

call this "BOA" for "Breed if Origin of Alleles" (Sevillano et al. 2017) whereas Stuber and Cockerham (Stuber and Cockerham 1966) call this "Effects of genes defined according to origin." Using pedigree (or SSGBLUP), this model is easy to use for F1 crosses (Lo, Fernando, and Grossman 1997; Lutaaya et al. 2002). There is no usable correct model with separate pedigrees per breed and crosses beyond F1. Although the theory exists (Lo, Fernando, and Grossman 1993; Garcia-Cortes and Toro 2006), this has never been programmed into software. Also the "BOA" model is not more accurate (Xiang, Christensen, and Legarra 2017) than the "common genetic" approach whereas computationally the "common genetic" approach is much simpler.

1.3.1 SSGBLUP with metafounders

The model with MF assumes a relationship within and across founders of the lines. This relationship is matrix Γ . This matrix should describe (in our example) that lines A, B and C are related on a distant time and that founders of C in pedigree where actually more related than founders of A. From this matrix, the matrix of pedigree relationships is $\mathbf{A}_{(\Gamma)}$ (Legarra et al. 2015) and its inverse $\mathbf{A}_{(\Gamma)}^{-1}$ is easily obtained. Then, for SSGBLUP we use

$$\mathbf{H}_{(\Gamma)}^{-1} = \mathbf{A}_{(\Gamma)}^{-1} + \begin{pmatrix} 0 & 0 \\ 0 & \mathbf{G}_{05}^{-1} - \mathbf{A}_{22(\Gamma)}^{-1} \end{pmatrix}$$

where a special VanRaden's \mathbf{G}_{05}^{-1} is constructed using \mathbf{Z}_{101} (genotypes coded as $\{-1,0,1\}$) and dividing by m/2 with m the number of markers (Garcia-Baccino et al. 2017):

$$\mathbf{G}_{05} = \frac{\mathbf{Z}_{101}\mathbf{Z}_{101}^{'}}{m/2} = 2\frac{\mathbf{Z}_{101}\mathbf{Z}_{101}^{'}}{m}$$

to make invertible G, the software automatically uses bended (or blended) G:

$$\mathbf{G}_{05} = 0.95 \frac{\mathbf{Z}_{101} \mathbf{Z}'_{101}}{m/2} + 0.05 \mathbf{A}_{22(\mathbf{\Gamma})}$$

but note that there is no tuning (usually adjusting means of G to A_{22}) of G_{05} - such a compatibility is automatic using metafounders.

1.4 BLUP

1.4.1 Reminder about the two parameter files for renumf90 and blupf90

In the following it is very important to distinguish the parameter files for renumf90 from the parameter file for the other blupf90 programs (i.e. blupf90test, airemlf90...). These are two different files. Often I use renum.par (or similar names e.g. renum.blup_upg.par) for the first and renf90.par (or similar names e.g. ren.blupf90.par) for the second.³

1.4.2 Recoding for BLUP using renumf90

renumf90 is a complex but very powerful software that is becoming unavoidable when using the blupf90 suite. A parameter file indicates where to find the information and what effects to renumber, together with indications on animal effect, pedigree, markers and a few more things. A *very* comprehensive guide of renumf90 is in Yutaka Masuda's tutorial. Parameter file renum.blup.par contains the instructions for model BLUP (I put guesses of variance components):

 $^{^2\}mathrm{We}$ genetic ists should read more and stop re-inventing the wheel

³Perhaps the user could call the renumf90 parameter files something.ren and the blupf90 parameter files something.par.

```
DATAFILE
data
# id sex y breed farm
TRAITS
WEIGHT(S)
RESIDUAL VARIANCE
1
# sex
EFFECT
2 cross alpha
# breed
EFFECT
4 cross alpha
# farm
EFFECT
5 cross alpha
# animal
EFFECT
1 cross alpha
RANDOM
animal
FILE
ped
FILE_POS
1 2 3
PED DEPTH
INBREEDING
pedigree
(CO) VARIANCES
```

This is rather self-explicative. The model assumes a single genetic architecture through all animals and is:

```
y = sex + breed + farm + animal + e \\
```

The only interesting points are:

```
PED_DEPTH 3
```

means that we trace back 3 generations from data and markers. Putting number 100 goes back as far as possible but still prunes animals not ancestors of data or markers - this is useful, for instance, if you have the complete Holstein pedigree but records on 1,000 cows. In our case we go from 89260 animals in the original file ped to 35823 (29333 animals with records and their ancestors) in renadd04.ped. Putting 0 reads the whole pedigree file.

INBREEDING pedigree

computes inbreeding from pedigree and prepares the parameter file renf90.par to use inbreeding to create the variance of Mendelian sampling needed to create \mathbf{A}^{-1} through the effect type add_an_upginb. We have discovered in the last years that this is important: ignoring inbreeding in the setup of \mathbf{A}^{-1} had usually negligible effects in BLUP, but the consequences in SSGBLUP can be rather dramatic (without inbreeding for \mathbf{A}^{-1} , the compatibility among \mathbf{A}^{-1} , \mathbf{G}^{-1} , and

 A_{22}^{-1} is compromised). Remember renumf90 does **not** sort pedigrees in birth order. This is how the file renadd05.ped looks like:

```
34 30618 6770 2000 0 2 1 0 0 A58455
35 34689 11750 2032 0 2 1 0 0 CxF1192695
30654 30348 30412 2000 0 2 0 0 3 A54485
36 27188 33825 2073 0 2 1 0 0 C179742
```

The file has a series of columns and the 4th column (for instance 2000, 2032... in this example) has the so-called "inb/upg code" that are functions of the variance of mendelian sampling D_{ii} : the inb/upg code has a value of $1000/D_{ii}$ where $D_{ii} = 0.5 - 0.25(F_s + F_d)$ with F = -1 for unknown sire or dam. Note that the the inb/upg code does not reflect the inbreeding of an individual but of their parents: for instance CxF1192695 is *not* inbred but one or both parents are (slightly) inbred.

On output, renf90.par contains a usable parameter file for the blupf90 series. This file can be copied with another name (highly recommended), say, blup.par as shown in the Annex and then modified by the user.

1.4.3 REML analyses

We can do REML analysis using blupf90test adding the following OPTIONS:

```
OPTION method VCE
OPTION EM-REML 10
OPTION se_covar_function h2 G_4_4_1_1/(G_4_4_1_1+R_1_1)
```

and in this manner, we can use it in blupf90test (that integrates BLUP and REML), to obtain REML estimates of variance components:

```
Final Estimates
Genetic variance(s) for effect 4
0.46861
Residual variance(s)
0.88372
```

Sampling variances of covariances function of random effects (n=10000)

```
h2 - Function: g_4_4_1_1/(g_4_4_1_1+r_1_1)
Mean: 0.34652
Sample Mean: 0.34632
Sample SD: 0.13871E-01
```

The latter uses a MonteCarlo method (Houle and Meyer 2015) and for technical reasons may not give a "Sample Mean" equal to the "Mean". Anyway, the "Mean" is the value to be trusted.

The estimate of h^2 is not 0.5 but 0.35 as the variances are heterogeneous across breeds. Later we will show a 5-trait model where this is considered.

1.4.4 Solutions for breed and farm effects.

With these estimated values we can run BLUP analyses. The file solutions contains estimates of effects, but with recoded numbers - one needs to go back to original codes in renf90.tables. After doing that, we obtain:

```
F 0.97861500E-02

M 0.0000000

A 27.611539

AxB 30.195616

B 13.494751
```

C 28.596234 CxF1 45.471410 3wayFarm 0.0000000 F1Farm 0.0000000 PurebredFarm 0.0000000

Farm and breed effects are confounded and the software assigns 0 value to breeds. If you go to Table 1 you will find that "breed" results agree well with the averages for y, but not with the average genetic values - it does not separate breed genetic effects from environmental effects.

1.4.5 Other solutions for breed and farm effects

This is another solution obtained with an iterative method instead of direct inversion:

```
1 1 F
             9.6418269
1 2 M
             9.6320436
2 1 A
             11.128977
2 2 AxB
                10.277400
2 3 B
            -2.8984491
2 4 C
              12.153085
2 5 CxF1
                 17.909376
3 1 3wayFarm
                     17.909376
3 2 F1Farm
                   10.277400
3 3 PurebredFarm
                         6.7945374
```

The solution seems radically different from the previous one, but it is not. Both are valid solutions. Because the model is not full rank, only estimable functions are estimable. Because farms and breeds are confounded, all that we can say is that, averaging both sexes ((9.64+9.63)/2), the expected phenotype in the 3wayfarm (estimated effect 17.90) with CxF1 (estimated effect also 17.90) animals is (9.64+9.63)/2+17.90+17.90=45.43, identical to the previous result (minus some rounding error). Thus, the fact that we get numbers for Farms and Breeds is misleading - we cannot estimate them jointly with this model.

1.5 BLUP_UPG

1.5.1 Parameter file for BLUP_UPG

In this case we will not fit an explicit "breed" effect - we fit Genetic or Unknown Parent Groups (Quaas 1988).

1.5.1.1 Pruning pedigrees and UPG renumf90 has a few options to create Genetic Groups, also known as Unknown Parent Groups (UPG). One of them allows simply to assign each animal to some "population", and if parents are unknown **or** parents are beyond the number of generation traced back, it assigns UPGs based on this. The code for this in the parameter file is similar as before:

```
FILE_POS
1 2 3 0 0 4
PED_DEPTH
3
UPG_TYPE
group_unisex
INBREEDING
pedigree
```

The UPG_TYPE option <code>group_unisex</code> takes a column in pedigree file to assign UPG - the same for the sire and for the dam. This column is indicated with the 6th number in the line 1 2 3 0 0 4 located under <code>FILE_POS</code> - in this case, the 4th column. Remember that our pedigree file looked like:

A1766 A6 A51 A A1767 A6 A51 A

so, it is going to pick "A" (4th column) as identifier of UPG. Using this we get in the output:

Unknown parent group allocation

OriginalGroup	Group	UPGID	#Animals
C	1	35824	840
A	2	35825	840
В	3	35826	840

Wrote UPG file "renf90.upg" with original id Max group = 3; Max UPG ID = 35826

Why don't we have AxB and CxF1 as UPGs? because pedigrees trace back eventually to A,B,C.

Unknown parent group allocation

	Parent2	C	Α	В
Parent1	34563	0	0	0
C	0	420	0	0
Α	0	0	420	0
В	0	0	0	420

means that 34563 individuals have known father and sire, but 420 of each pure breed do not have neither. Now the pedigree file renadd03.ped has no zeros on it - but UPGs do not have lines on their own.

The REML analyses gives exactly the same results as above.

1.5.2 Solutions for breed effects and UPG effects.

After REML, solutions for farm effects are:

3wayFarm 34.391471 F1Farm 23.137222 PurebredFarm 13.494751

and for UPGs:

C 15.101483 A 14.116788 B 0.0000000

Solutions for sex are 0. These results agree quite well with Table 1 (beyond a constant) and the UPG model separates correctly genetic and phenotypic values of breeds and farms. The reason is, in a way, that breeds A and B "connect" all three different farms. This of course assumes no strong GxE interactions.

1.6 SSGBLUP MF

However, breed composition is *not* the same for 3-way crosses. The mother of a CxF1 animal has one gamete (chromosome) from A and another from B, and it may transmit one, the other, or a recombined chromosome to the CxF1 offspring. Thus, on the maternal side, some CxF1 animals

⁴Another strategy (group) more used in cattle and sheep assigns different groups for sire and dams, but this is delicate as it is easy to have confounded effects. For purebreds and crossbreds I recommend to assign UPGs based on breed or line.

will be more "A" and some will be more "B". This is the kind of information that can be "seen" using molecular markers. We will not enter into the theory, but this is the kind of idea that is well included into the theory of metafounders.

1.6.1 Parameter file for SSGBLUP_MF

We need to prepare the files partly automatically and partly by hand. We use parameter file renum.ssgblup.par that is almost identical to renum.blup_upg.par except for these two lines (usually placed after FILE_POS):

SNP_FILE markers

which tells <code>renumf90</code> to pick up the genotype file and animals in there. This generates a parameter file <code>renf90.par</code> to run SSGBLUP with UPGs. **This is not a good model** because genomic information is not accounted for in the UPG effects (Misztal et al. 2013; Matilainen et al. 2018). Two alternatives are to use the QP transformation into the "genomic" part of the model, so-called "exact UPGs" (we will not use this option as it is more complicated), or using metafounders. To use metafounders we need:

- 1. to modify the parameter file
- 2. to provide the relationship matrix across metafounders, Γ .

1.6.2 Preparing the blupf90 parameter file to consider metafounders

After running renumf90, in the parameter file for blupf90 family (renf90.par or another file name if you copied it) we need to change the type of animal effect (RANDOM_TYPE) from add_an_upginb to add_an_meta. This tells blupf90test that the random effect follows the structure of \mathbf{A}^{Γ} .

We also need to provide the software with an estimate of Γ , and we do this using the line OPTION gammafile 3 name_of_the_file where 3 is the random effect associated with model and name_of_the_file is the file with the values for Γ , which looks like:

0.799 0.456 0.456 0.456 0.661 0.454 0.456 0.454 0.670

ordered as in the output file renf90.upg of renumf90 (in our case, the order is C, A and B). We will talk about getting these values later. So far, this tells the software to do (regular) BLUP with \mathbf{A}^{Γ} . When we use this relationship matrix, the software automatically scales the variance component appropriately (Legarra et al. 2015) such that

$$\sigma_u^2 \leftarrow \frac{\sigma_u^2}{1 + \overline{diag(\Gamma)}/2 - \overline{\Gamma}}$$

This scaling factor results in an increase of the nominal genetic variance. The automatic scaling can be deactivated with <code>OPTION lscale F.5</code>

If we, in addition, use the option OPTION SNP_file name_of_the_file (this is by default already present in the parameter file as constructed by renumf90), the program will run SSGBLUP with

$$\mathbf{H}_{(\Gamma)}^{-1} = \mathbf{A}_{(\Gamma)}^{-1} + \begin{pmatrix} 0 & 0 \\ 0 & \mathbf{G}_{05}^{-1} - \mathbf{A}_{22(\Gamma)}^{-1} \end{pmatrix}$$

This is how the parameter file for blupf90test for SSGBLUP using metafounders looks like:

 $^{^5}$ The scaling assumes, roughly, that all metafounders contribute equally to the overall population - it may not be correct if they are very different and one contributes very little.

```
DATAFILE
renf90.dat
NUMBER_OF_TRAITS
NUMBER_OF_EFFECTS
            3
OBSERVATION(S)
    1
WEIGHT(S)
EFFECTS: POSITIONS_IN_DATAFILE NUMBER_OF_LEVELS TYPE_OF_EFFECT[EFFECT NESTED]
            3 cross
 4
       43750 cross
RANDOM_RESIDUAL VALUES
   1.0000
 RANDOM_GROUP
     3
 RANDOM_TYPE
 add_an_meta
FILE
renadd03.ped
(CO) VARIANCES
   1.0000
OPTION SNP_file markers
OPTION gammafile 3 gamma.txt
Adding the following lines results in REML estimation, in addition using YAMS (faster) and
with s.e. for heritability:
OPTION use_yams
OPTION method VCE
OPTION EM-REML 10
OPTION se_covar_function h2 G_3_3_1_1/(G_3_3_1_1+R_1_1)
Running the REML analysis shows at the beginning lots of information, including the scaling
factor k = 1 + diag(\Gamma)/2 - \overline{\Gamma} which in this case is 0.8148:
* Scale_k = 0.8148
* Matrix G (genetic covariances) is scaled with k= 0.8148
 Original G
  1.000
Scaled G
  1.227
The estimate of variance components at the end is
Genetic variance(s) for effect 3
  1.0270
Residual variance(s)
0.71508
However the estimate of genetic variance is 1.0270 in the metafounders scale, to put it back in
```

However the estimate of genetic variance is 1.0270 in the metafounders scale, to put it back in the normal scale we multiply by $k=1+\overline{diag(\Gamma)}/2-\overline{\Gamma}=0.8148$ in this case which results in $\widehat{\sigma_u^2}=1.0270\times0.8148=0.84$. These numbers are anyway not completely meaningful as there are scaling effects across all 5 breeds. All these things of the variances are confusing, but in practice the automatic scaling works quite well in our experience.

1.6.3 Solutions for breed effects and UPG effects.

After REML, solutions for farm effects are:

```
      3wayFarm
      20.827173

      F1Farm
      9.6071542

      PurebredFarm
      0.0000000
```

which agree very well with simulated data, and for metafounders:

```
C 6.2802710
A -8.2012730
B 5.2292554
```

which also agree very well.

1.7 Inferring Gamma

1.7.1 Using home-made breed allele frequencies

Each element γ_{ij} of matrix Γ contains $8Cov(p_i, p_j)$ where i and j are the allele frequencies at the top of the pedigree of each breed (i.e. we have 40,000 allele frequencies for each A, B and C). It is important that the reference allele is randomized so on average, $\bar{p} = 0.5$ across loci.

To compute allele frequency at the base population we can pick up the first 1000 animals of each breed in markers and get allele frequencies using some program. I use my own programs and I get 3 files, freqA, freqB, freqC, each of them looking like:

```
0.43
0.936
...
0.092
```

from here, we use R. The order given by renumf90 was C, A, B so I use the same order to generate my estimate of Γ :

which is very similar to the values that we described before. Paste this (without row and column names) in a text file and include the name of this file in OPTION gammafile.

1.7.2 using gammaf90

freqA=scan("freqA")

Gammaf90 is a software still unreleased that uses the GLS method of (Garcia-Baccino et al. 2017), and is most useful when there is no genotypes of "purebred" animals, only of their crosses - for instance if we only had genotypes of F1 and 3way crosses. Gammaf90 has no parameter file, but command switches. In our case is as simple as:

```
gammaf90 --snpfile markers --pedfile renadd03.ped
   --allele-freqs-base --bounded-allele-freqs
```

The switch --allele-freqs-base creates a file with estimated base allele frequencies for each metafounder, and approximate s.e. As estimates of allele frequencies may go out of [0,1] bounds, the switch --bounded-allele-freqs prevents them by fixing them brutally to the [0,1] bound -not very elegant but useful.

On output we have gamma.txt. In this simulation the estimate is very easy - but in other cases such as ruminants with unknown pedigrees it is much more challenging.

1.8 Five trait model

Because of the existence of GxG and GxE interaction, treating each breed composition as a different "trait" is a typical option for analysis. In this case, we need to prepare the data file in five columns.

2 Annex

Contents of the folder:

- markers.gz (compressed file)
- data
- ped

In folder renfiles we have example files for renumf90:

- renum.blup.par
- renum.blup_upgs.par
- renum.ssgblup.par

In folder parfiles we have example files for blupf90test:

- blup.par
- blup_upgs.par
- ssgblup.par

References

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